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Docket: 98124

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vanleene

Group Art Unit: To be assigned

Serial No.: 10/591,102

Examiner: To be assigned

For: Changeover System and Changeover Method for  
A Metal Forming Mill

Filed: August 30, 2006

**INFORMATION DISCLOSURE STATEMENT**

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR 1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated in item 11 below.

☐ 11 Certification

☐ 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

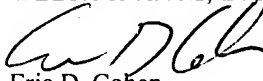
☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.

  
Eric D. Cohen  
Reg. No. 38,110

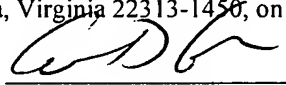
WELSH & KATZ, LTD.  
120 South Riverside Plaza  
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October 3, 2006

**CERTIFICATE OF MAILING**

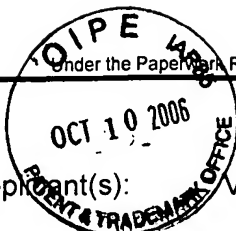
I hereby certify that this paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

October 3, 2006  
Date

  
Eric D. Cohen, Esq.  
Registration No. 38,110  
Attorney for Applicants



Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 98124		Serial No. 10/591,102	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant: Vanleene			
				Filing Date August 30, 2006		Group No. To be assigned	
U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		5,600,988	02.11.97	Abbey III et al.			
		5,720,195	02.24.98	Ruple			
		5,887,472	03.30.99	Abbey III			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
		3792	03.09.15	GB			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		International Search Report prepared for PCT Application No. PCT/CH2005/000005					
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



## Certificate of Mailing under 37 CFR 1.8

Applicant(s): Vanleene

Docket no. 98124

Application No. 10/591,102	Filing Date: August 30, 2006	Examiner: To be assigned	Customer No. 24628	Group Art Unit: To be assigned
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Invention: Changeover System and Changeover Method for a Metal Forming Mill

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on October 3, 2006.

(Date)

Eric D. Cohen, Registration No. 38,110  
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Information Disclosure Statement, PTO Form 1449 citing 3 US Patents, 1 Foreign Patent (enclosed), and 1 Other reference (enclosed) and a return receipt postcard

*The Commissioner is hereby authorized to charge any fees which may be required, including, if necessary, the filing fee if the above-referenced check is in the wrong amount, unsigned, postdated, or otherwise improper or informal or missing, or credit any overpayment to Deposit Account No. 23-0920.*